

AMENDMENT TO 2021 ETHICS FILING

I, David Caprio submit the information contained herein to amend my 2021 filing. In response to question 16, which requests information regarding debt, please add the following:

Nelnet, PO Box 82561, Lincoln, NE, 68501

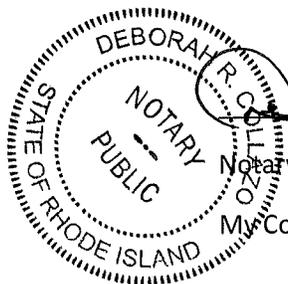
Thank you,

David Caprio
David Caprio

STATE OF RHODE ISLAND

COUNTY OF Providence

In the city/town of Providence, in the County of Providence, on the 28 day of April, 2023, before me personally appeared the above named David Caprio, to me known and known by me to be the party executing the foregoing instrument, and he/she/they said instrument, by him/her/them executed, to be his/her their free act and deed.



Deborah R. Coley

Notary Public

My Comm. Expires: 6/30/26



RECEIVED
RHODE ISLAND
ETHICS COMMISSION
23 MAY -3 AM 10:16

10#108051

RECEIVED
RHODE ISLAND
ETHICS COMMISSION

23 JAN -6 AM 8:59

21 FS-1



RHODE ISLAND ETHICS COMMISSION

40 Fountain Street
Providence, RI 02903
(401) 222-3790

2021 YEARLY FINANCIAL STATEMENT

To complete and file online visit: <https://ethics.ri.gov>

ALL QUESTIONS REFER TO THE 2021 CALENDAR YEAR UNLESS OTHERWISE SPECIFIED.

PLEASE ANSWER **ALL QUESTIONS** AND WHERE YOUR ANSWER IS "NONE" OR "NOT APPLICABLE" SO STATE. WE WILL NOT ACCEPT A STATEMENT IF ANY QUESTIONS ARE LEFT BLANK.

ANSWERS SHOULD BE PRINTED OR TYPED. Additional sheets may be used if more space is needed. For clarification of any question, refer to the Instruction Sheet or contact the Ethics Commission.

Note: If you are a state, municipal or regional official or employee, or a candidate for elected office, who is required to file a Yearly Financial Statement, failure to file accurately and on time may subject you to a substantial monetary fine. If you dispute your status as a required filer, you must contact the Ethics Commission prior to the filing deadline. **Upon filing of this form, it will become a public document available for review.**

1. CAPRID DAVID _____
 LAST NAME FIRST NAME MIDDLE INITIAL SUFFIX

2. _____
 MAILING ADDRESS: (STREET OR PO BOX) (CITY/TOWN) (STATE) (ZIP CODE)

3. List any Public Position(s) you held for any length of time in calendar years 2021 or 2022.

PUBLIC POSITION	MUNICIPALITY, STATE OR REGIONAL ENTITY	DATE ELECTED, APPOINTED OR HIRED	TERMINATION OR RESIGNATION DATE (IF APPLICABLE)
NONE			
PUBLIC POSITION	MUNICIPALITY, STATE OR REGIONAL ENTITY	DATE ELECTED, APPOINTED OR HIRED	TERMINATION OR RESIGNATION DATE (IF APPLICABLE)

4. List any elected office (state, municipal or regional) for which you were/are a candidate in either calendar year 2021 or 2022.

ELECTED OFFICE	MUNICIPALITY, STATE OR REGIONAL ENTITY	DATE CANDIDACY DECLARED
NONE		

5. List full name of spouse if you were married or were a party to a civil union for any part of 2021.

6. This question has two parts, each referring to occupational income received during calendar year 2021.

PART I: Provide a separate answer for each instance in which you, your spouse or dependent child received either \$1,000 or more gross income from an employer during 2021; or \$1,000 or more gross income through self-employment. Income received from public employment or service, including any stipend received for serving as an elected or appointed official, must be disclosed. List the following:

PERSON WHO RECEIVED INCOME	NAME & ADDRESS OF EMPLOYER OR SELF-EMPLOYMENT ENTITY	DATES AND NATURE OF OCCUPATION OR PROFESSION
DAVID CARRIO, ATTORNEY AT LAW	SELF EMPLOYED 1 CENTER PLACE, PROVIDENCE RI 02903	11/1/21 - 12/31/21

PART II: If you, your spouse or dependant child were self-employed and received more than \$250 in gross income for services rendered to a state or municipal agency, list the following:

PERSON WHO RECEIVED INCOME	NAME & ADDRESS OF AGENCY RECEIVING SERVICES	DATES AND NATURE OF SERVICES RENDERED
NONE		

7. List any real estate, wherever located, other than real estate that is used **exclusively** (see instructions) as your principal residence, in which you, your spouse or dependent child had a financial interest during any part of calendar year 2021. If no street address exists, use legal description.

PERSON WITH INTEREST	NATURE OF INTEREST	ADDRESS OR LEGAL DESCRIPTION
DAVID CARRIO	JOINT TENANT	33 JONES ST, PROVIDENCE RI 02903
	JOINT TENANT	81 WAMPUM ROAD, NATTAGANSETT, RI
	JOINT TENANT	101 RODMAN ST, NATTAGANSETT, UNITS A, B,
	OWNER	90 ALTON ROAD, MIAMI BEACH, FL
	MEMBER LLC	80 ANNANDALE ROAD, NEWPORT RI
	MEMBER LLC	27-29-31 JONES STREET, PROVIDENCE RI
	BENEFICIARY	1 CENTER PLACE, PROVIDENCE RI

8. If you, your spouse or dependent child received any income as a beneficiary of any trust, list the following:

NAME OF PERSON RECEIVING TRUST INCOME: NONE

NAME OF TRUST: _____

TRUSTEE NAME AND ADDRESS: _____

LIST EACH TRUST ASSET, IF KNOWN, FROM WHICH MORE THAN \$1,000 IN GROSS INCOME WAS RECEIVED (ASSET VALUE NEED

NOT BE DISCLOSED): _____

9. If you, your spouse or dependent child held a management position or were a director, officer, partner, or trustee of any business, organization or other entity (for profit or non-profit), whether paid or unpaid for such service, list the following:

NAME OF FAMILY MEMBER	NAME & ADDRESS OF ENTITY	POSITION
SEE ATTACHED		

10. If during the 2021 calendar year any person or entity provided you with out-of-state travel valued at over \$250, AND you would not have been provided with such travel but for the fact that you held a public office or position, you must list the source, value and description of the travel and related expenses below. Attach additional sheets if necessary.

Out-of-state travel includes all related expenses such as transportation, lodging, meals and entertainment. All of these expenses are considered together when determining whether the \$250 limit has been reached.

Exceptions: You do not have to disclose out-of-state travel that is provided to you either by your regular private employer or by the state or municipal agency of which you are a member or by which you are employed.

NAME AND ADDRESS OF TRAVEL PROVIDER	TRAVEL PURPOSE AND DESTINATION	DESCRIPTION AND COST OR FAIR MARKET VALUE OF EXPENSE (TRANSPORTATION, LODGING, MEALS & ENTERTAINMENT)
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NONE

11. If at any point during calendar year 2021, you, your spouse, or dependent child individually or collectively held a 10% or greater ownership interest, or a \$5,000 or greater ownership or investment interest in any business (including holding publicly traded stock in a company), you must list the following (attaching additional sheets if necessary):

NAME OF FAMILY MEMBER	NATURE OF INTEREST	NAME & ADDRESS OF BUSINESS (NO ADDRESS NEEDED FOR PUBLICLY TRADED STOCK HOLDINGS)
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SEE ATTACHED

12. If, during calendar year 2021, any business you listed in Question #11 had one or more business transactions with a Rhode Island state or municipal agency that, collectively, exceeded \$250, list the following:

NAME OF BUSINESS	WAS INTEREST IN BUSINESS HELD ALL YEAR? IF NOT, LIST DATE INTEREST ACQUIRED OR DIVESTED	NAME OF STATE OR MUNICIPAL AGENCY TRANSACTING BUSINESS	DATE AND NATURE OF TRANSACTION
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NONE

13. If, during calendar year 2021, any business listed in Question #11 was subject to direct regulation by a Rhode Island state or municipal agency (see instructions for examples of direct regulation), list the following:

NAME OF BUSINESS	WAS INTEREST IN BUSINESS HELD ALL YEAR? IF NOT, LIST DATE INTEREST ACQUIRED OR DIVESTED	NAME OF STATE OR MUNICIPAL AGENCY REGULATING BUSINESS	MANNER IN WHICH BUSINESS IS REGULATED
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NONE

14. This question relates to business interests, acquired or divested AFTER calendar year 2021, that are regulated by a public agency. Answer below regarding any businesses in which you, your spouse, or dependent child individually or collectively ACQUIRED or DIVESTED a 10% or greater ownership interest or a \$5,000 or greater ownership or investment interest (including holdings of publicly traded stock) AFTER JANUARY 1, 2022 but prior to filing this statement, IF said business was subject to direct regulation by a Rhode Island state or municipal agency. (See instructions for examples of direct regulation.)

NAME OF BUSINESS	NATURE OF INTEREST AND DATE ACQUIRED OR DIVESTED	NAME OF STATE OR MUNICIPAL AGENCY REGULATING BUSINESS	MANNER IN WHICH BUSINESS IS REGULATED
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NONE

15. This question relates to business interests, acquired or divested AFTER calendar year 2021, that did business with a public agency. Answer below regarding any business in which you, your spouse, or dependent child individually or collectively ACQUIRED or DIVESTED a 10% or greater ownership interest or a \$5,000 or greater ownership or investment interest (including holdings of publicly traded stock) AFTER JANUARY 1, 2022 but prior to filing this statement, IF said business had one or more business transactions with a Rhode Island state or municipal agency that, collectively, exceeded \$250.

NAME OF BUSINESS	NATURE OF INTEREST AND DATE ACQUIRED OR DIVESTED	NAME OF STATE OR MUNICIPAL AGENCY TRANACTING BUSINESS	DATE AND NATURE OF TRANSACTION
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NONE

16. If you, your spouse or dependent child were indebted in an amount in excess of \$1,000 to ANY person, business entity, financial institution or other organization, list the name and address of the lender or creditor. You should NOT list: (a) indebtedness to any person related to you, your spouse or dependent child, at any time, within the third degree of consanguinity or affinity (see instructions); or (b) indebtedness that is secured solely by a mortgage of record on real property that is used exclusively as your principal residence, if held by a financial institution regulated by any state or by the United States; or (c) indebtedness to a credit card company.

NAME OF DEBTOR	NAME AND ADDRESS OF LENDER OR CREDITOR
DAVID CAPRIO	CHASE, PO Box 21244 Philadelphia PA 19178-0244

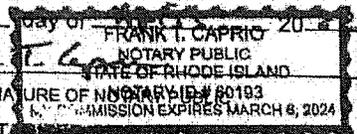
I certify under penalty of perjury that this Financial Statement is a complete and accurate response to the questions presented as to the financial information and interests of myself, my spouse, and my dependent children. I understand that a failure to provide complete and accurate responses to each question is a violation of the law that may result in the imposition of substantial penalties, including fines. I understand that I am subject to the statutory and regulatory provisions of the Rhode Island Code of Ethics (available at www.ethics.ri.gov or by contacting the Ethics Commission) and that I may seek assistance and guidance from the Ethics Commission as to any issues or questions I have relative to my conduct under the Code of Ethics or as to the information that must be disclosed on this Financial Statement.

David Caprio
SIGNATURE

State of RI County of Providence

Subscribed and sworn to before me at Providence this 21 day of February

My Commission expires 3/6/24



THIS STATEMENT WILL BE RETURNED IF IT IS NOT SIGNED AND NOT ALL QUESTIONS ARE ANSWERED. (USE "N/A" OR "NONE" WHERE APPROPRIATE.)

RI ETHICS COMMISSION ATTACHMENT, David Caprio

9. -David Caprio, Attorney at Law, 1994-present
- Frank Caprio Irrevocable Gift Trust, 1 Center Place, providence, Ri 1998-present (co-trustee)
-Annandale Associates, LLC 450 Veterans Memorial Parkway, 7A, East Providence, RI 02914, 2003-present (Member)
-CAPLAR LLC, One Turks Head Place, 1440, Providence, RI, 02903, 2003 – present (Member)
- Tup a Toffee LLC, 870 Oaklawn Ave, Cranston, RI, 02920, 2015 – present (Member)
-Citylife Productions LLC, 9 Paterson Avenue, Warwick, RI, 02886 2017 – present (Member)
- Aurora Civic Association, a domestic non-profit corporation, 289 Broadway, Providence, RI 02903, Board/Treasurer, 2004 - present
- Filomena Fund, Inc. 1 Center Place, Providence, RI 02903, 2021 – present. (Director)
- Caprio Brothers Partnership, 1 Center Place, Providence, RI 2020-present (Partner)
- 11.
- Annandale Associates, LLC 450 Veterans Memorial Parkway, 7A, East Providence, RI 02914, 2003-present (Member)
-CAPLAR LLC, One Turks Head Place, 1440, Providence, RI, 02903, 2003 – present (Member)
- Tup a Toffee LLC, 870 Oaklawn Ave, Cranston, RI, 02920, 2015 – present (Member)
-Citylife Productions LLC, 9 Paterson Avenue, Warwick, RI, 02886 2017 – present (Member)
- Caprio Brothers Partnership, 1 Center Place, Providence, RI 2020-present (Partner)
-David Caprio, Attorney at Law, 1 Center Place, Providence, RI 02903
Various publically traded companies listed on major stock exchanges